

1 Present Trustee/Custodian Account Information

Firm Name _____ Current Account Number _____

Firm Address _____ City _____ State _____ ZIP _____

Please attach a copy of your current account statement.

2 Customer Information

Name (first, middle, last) _____ Date of Birth _____ Social Security Number _____

Street Address (PO Box not acceptable) _____ City _____ State _____ ZIP _____

3 Asset Transfer or Conversion

Amount of Transfer

Total transfer (100%) of the current account **OR** Partial transfer of the current account: \$ _____

Type of plan you now have:

- Traditional IRA
- Rollover IRA
- Roth IRA
- SEP IRA
- SIMPLE IRA
- Other Employer Sponsored Plan: _____
- Other Roth Employer Sponsored Plan: _____

Type of plan you are transferring to:

- Traditional IRA
- Rollover IRA
- Roth IRA
- SEP IRA
- Inherited (Beneficiary) Traditional IRA
- Inherited (Beneficiary) Roth IRA

4 Investment Selection

Invest in my existing Stewart Capital Mutual Funds IRA, Account Number _____

Open a new* Stewart Capital Mutual Funds: IRA Roth IRA SEP IRA in:

\$ _____ or 100% Stewart Capital Mid Cap Fund

*You must complete an IRA Application.

5 Withholding Election for a Conversion to a Roth IRA

Complete only if you are converting assets to a Roth IRA or Roth Conversion IRA.

IRS regulations require the Custodian of the IRA from which you are converting to withhold Federal income taxes at the rate of 10% from the converted amount unless you elect not to have withholding apply. Withholding will apply to the entire amount of the conversion, even if nondeductible contributions to the IRA have been made. If you do not elect to have Federal income tax withheld, you are liable for payment of Federal income tax on the taxable portion of distributions. You may also be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate. Select from the following options:

- I do not want Federal income tax withheld from distributions from this account.
- I want Federal income tax of 10% withheld from distributions from this account.
- I want Federal income tax of _____ % (greater than 10%) withheld from distributions from this account.

6 Authorization

I authorize the transfer/rollover of the IRA assets according to the instructions provided above. I certify that I am eligible to complete this transaction within the limits prescribed by the Internal Revenue Service. I also certify that all information provided by me is correct and may be relied upon by UMB Bank, n.a.

Customer Signature _____

Date _____

Please check with your current Trustee/Custodian to determine if a Medallion signature guarantee is required to process this transfer.

(Medallion stamp here)

7 Acceptance (This portion is to be completed by UMB Bank, n.a., Custodian for Stewart Capital Mutual Funds IRA.)

UMB Bank, n.a. serves as Trustee/Custodian of an IRA for the individual referenced below and is willing to accept the assets you are presently holding as fiduciary of said retirement plan in accordance with the aforementioned instructions.

For IRA Account Number _____ FBO _____
(Customer Name)

By _____
Authorized Signature of UMB Bank Representative Telephone Number

Use these delivery instructions for all free deliveries. Each delivery should include the customer's name and account number as shown above.

Please deliver the funds to the office indicated:

Checks:

Stewart Capital Mutual Funds
PO Box 2175
Milwaukee, WI 53201-2175
(Account Name and Number)

Wire Transfer:

If you prefer to wire funds directly, please call 877.420.4440 for further instructions.

Shares of the Stewart Capital Mutual Funds are not deposits or obligations of, nor guaranteed by, S&T Bank or any other banking institution, nor are they insured by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. These shares involve investment risks, including the possible loss of the principal invested.

